WEALTH GROWTH INVESTMENT MANAGEMENT

First time investor explanation and instructions form

Dear Investor,

Welcome to Wealth Growth Investment Management.

Before continuing below, we recommend that you read through the following pages on our website, this will help you to familiarise yourself with our company which will allow you to make the best investment: Investment Philosophy and Process, What is a Segregated Portfolio Model and all the different Segregated Portfolio Models in each country.

We would like to assist you with filling in the required documentation below.

Please read through all the points first before filling in or signing documents.

1) Please read through the mandate, after which, fill out the mandate, and initial each page and sign it on the last page and send it to us.
2) Please choose the product that you would like to invest in, along with filling in your details as an individual, trust etc. The F.I.C.A. documents that you need to submit must be relevant to the client type, example, individual, trust etc. Please note that no accounts will be opened unless the correct F.I.C.A. documents have been submitted.
3) If you are filling it in with a financial adviser, please ask them to complete the relevant financial adviser details.
4) We use Investec as our broker, they are a member of the Johannesburg Stock Exchange and they have agreements to trade international equities. As soon as your money is deposited, it gets deposited in the J.S.E. or relevant stock exchange, if trading internationally in your own account so there is no need to worry, your money is in safe hands. Your funds will be invested in your own account under Investec but we will manage it on your behalf. If the F.I.C.A. documents have been completed, account opening should take between one and seven working days, depending on how busy they are. Your account details will sent to you either by them or us. If you need your money please send us instructions and it should take a maximum of 10 working days for them to deposit your funds into your account. You will only be able to transfer your funds after your account has been opened, so you will use your Investec account reference number as a reference when you transfer.
5) Bank account details, where your funds need to be transferred, and your account details will be given to you once your account has been opened.
6) There is no need to sign or send back the Disclosure document, it is there for you as it is a requirement of the Financial Services Board for us to give to clients.
7) If you are a direct investor, please download the Investec Pack, on the “How to Invest” page. Please fill in the forms that apply to your Individual/Legal profile.
8) If you are doing a swop from Momentum to Investec, please download the Momentum Pack, on the “How to Invest” page. Please fill in the forms that apply to your Individual/Legal profile.
9) If you are doing a swop from Glacier to Investec, please download the Glacier Pack, on the “How to Invest” page. Please fill in the forms that apply to your Individual/Legal profile.
10) So to summarise: The minimum documentation that we need filled in, signed and sent back to us please is:

    10.1) Full Discretionary Mandate. (Please don’t forget all the F.I.C.A. documents)

10.2) The additional documentation that applies to your needs from 7-9 above.

The 3 Packs described in 7-9 above are easier and less confusing to download, however if they are too large or if you only wish to download individual documents, please visit the “Documents” page.